



Conflicts of Interest Questionnaire

1. Indicate if you were in receipt of material nonpublic information about a publicly traded company during the last 12 months.
2. Indicate if you or your immediate family members* are employed by a financial services firm (e.g., broker-dealer, investment adviser, hedge fund, private equity firm, etc.). If yes, please list the individual's name, occupation, and employer.
3. Indicate if you or your immediate family members are employed by a publicly traded company. If yes, please list the individual's name, occupation, and employer.
4. List any corporation of which you or your immediate family members are an officer or director. Please also list any entity of which you or any of your immediate family members hold 5% or more of the outstanding stock and briefly describe the entity's business activities.
5. Indicate if you or your immediate family members conduct business with or are employed by an entity that does business with the firm.
6. List any relationships that you or your immediate family members have with any of the products or investment managers recommended by the firm.
7. List the names of privately placed securities or limited partnership interests held by you or your immediate family members.
8. List the names of privately placed securities or limited partnership interests which you or your immediate family members purchased or sold in the last two years.
9. List and describe any joint ventures or any other businesses in which you or your immediate family members participate.

Name: _____

Date: _____

* The term "immediate family member" is defined as spouse, domestic partner, children, parents (including step parents), grandparents, siblings, and in-laws.

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